

CALIFORNIA STATE BOARD OF BEHAVIORAL SCIENCES BILL ANALYSIS

BILL NUMBER: AB 427 VERSION: AMENDED APRIL 9, 2025

AUTHOR: JACKSON SPONSOR: NATIONAL ASSOCIATION OF SOCIAL

WORKERS, CALIFORNIA CHAPTER

(NASW-CA)

STAFF RECOMMENDED POSITION: OPPOSE

SUBJECT: SOCIAL WORKERS: INTERSTATE COMPACT

Summary:

This bill would establish California as a member state in the Social Work Licensure Compact, which permits a licensed clinical social worker in a member state to practice in other member states. The Compact would only become operative in California if a majority of the Board of Behavioral Sciences votes in favor of joining the Compact, and the vote is certified by the Director of Consumer Affairs.

Existing Law:

- 1) Requires a California license to engage in the practice of clinical social work in this state and use the term "Licensed Clinical Social Worker." (Business and Professions Code (BPC) §4996)
- 2) Establishes the Clinical Social Worker Practice Act and specifies requirements for licensure in California as a licensed clinical social worker (LCSW). Applicants must complete the following BPC §§4991, 4996.1, 4996.2, 4996.23):
 - A master's degree from a school of social work accredited by the Commission on Accreditation of the Council on Social Work Education. Additional educational requirements are also prescribed in law.
 - Passage of a California law and ethics exam and the Association of Social Work Boards Clinical Exam.
 - At least 3,000 post degree supervised experience hours over a period of not less than 2 years.
- 3) Provides a streamlined licensure process for out-of-state licensees licensed for at least the 2 previous years who hold an equivalent license in another U.S. jurisdiction at the highest level of independent clinical practice. For these out-of-

state licensees, instead of determining whether their past education and experience meets all California requirements, the Board will issue a license if all the following are met (BPC §4996.17.1):

- The license has been active and unrestricted for at least the past two years. (The Board will review any past restrictions or disciplinary actions.)
- The qualifying degree is a master's degree from an accredited school or department of social work.
- The applicant complies with fingerprint requirements.
- The applicant completes the following coursework from an accredited or approved school, or an acceptable continuing education provider:
 - 12 hours of California law and ethics.
 - 15 hours of California cultures.
 - o 7 hours of California-specific child abuse assessment and reporting.
 - 6 hours of coursework or experience in suicide risk assessment and intervention (required only if they cannot provide proof of previously having this coursework or experience previously).
- The applicant passes the California law and ethics exam. (Passage of the clinical exam is waived for these applicants.)
- 4) Provides that under the Federal Servicemembers Civil Relief Act, military members or their spouses who currently hold a license in good standing in another state may practice in California in that same profession if they are required to relocate here due to military orders. To do this, they must register with the Board and meet the following requirements (50 United States Code (USC) §4025a, BPC §115.10):
 - Hold a professional or vocational license in good standing in another state, district, or territory of the United States in the same profession.
 - Relocate to California because of military orders for military service.
 - Have used the out-of-state license at some point during the two years immediately preceding relocation to California (e.g., performed at least one activity within the scope and under the authority of the out-of-state license).
 - Maintain in good standing all other valid and active out-of-state licenses in the same profession or vocation.
 - For spouses/domestic partners, maintain marriage or other legal union with a servicemember subject to military orders for military service.

Permits a person with a current, active, and unrestricted license in another state in a profession equivalent to the Board's LCSW license, to obtain a temporary practice allowance to provide services to a client travelling in or relocating to California for a period of 30 consecutive days in a calendar year. To qualify for the temporary practice allowance, certain qualifications must be met, including the client being a current client with an established, ongoing client-provider relationship with the therapist before travelling to this state. The therapist must also register with the Board. (BPC §4996.16.1)

This Bill:

- 1) Ratifies and approves the Social Work Licensure Compact (Compact). (BPC §4998.10)
- Provides that a multistate license or multistate authorization to practice under the Compact is recognized as a license to practice clinical social work in California. (BPC §4996(d))
- 3) Specifies that the purpose of the Compact is to facilitate interstate practice of regulated social workers by improving public access to competent social work services. (BPC §4998.15, Section 1)
- 4) States that the Compact is designed to achieve numerous objectives, including increasing public access to social work services, reducing burdensome and duplicative requirements associated with holding multiple licenses, promoting mobility and addressing workforce shortages, and supporting military families. (BPC §4998.15, Section 1)
- 5) Defines certain terms used in the Compact, including the following (BPC §4998.15, Section 2):
 - <u>Compact Commission, or "Commission"</u> means the Social Work Licensure Compact Commission, which is the government agency whose membership consists of all states that have enacted the Compact.
 - <u>Data System</u> is defined as the repository of information about licensees, including continuing education, exam, licensure, current significant investigative information, disqualifying events, multistate licenses, and adverse action information.
 - <u>Domicile</u> means the jurisdiction where the licensee resides and intends to remain indefinitely.
 - Home State means the member state that is the licensee's primary domicile.

- <u>Member State</u> means a state, district, or territory of the United States that has enacted this compact.
- <u>Multistate Authorization to Practice</u> means a legally authorized privilege to practice, equivalent to a license, associated with a multistate license permitting the practice of social work in a remote state.
- <u>Multistate License</u> means a license to practice as a regulated social worker issued by a home state that authorizes the social worker to practice in all member statues under a multistate authorization to practice.
- Qualifying National Exam means a national licensing exam approved by the Commission.
- Remote State means a member state other than the licensee's home state.
- <u>Single State License</u> means a license issued by any state that authorizes
 practice only in that state and does not include multistate authorization to
 practice in member states.
- Requires the Board to comply with the requirements of the Compact, and to adopt regulations to implement its requirements. (BPC §4998.20(a))
- 7) Provides that the Compact only becomes operative in California if a majority of the Board votes in favor of joining the Compact, and the Director of Consumer Affairs certifies this. (BPC §4998.20(b))

State Responsibilities

- 8) Provides that to be eligible to participate in the Compact, a state must (BPC §4998.15 Section 3(a)):
 - License and regulate the practice of social work at either a clinical, master's or bachelor's level.
 - Require applicants to graduate from a social work program corresponds to the license sought, and that is operated by a college or university recognized by the licensing authority and accredited by the Council for Higher Education Accreditation or the United States Department of Education.
 - Require a period of supervised practice.
 - Have a mechanism in place for receiving, investigating, and adjudicating complaints about licensees.

- 9) Provides that to maintain Compact membership, the member state must (BPC §4998.15 Section 3(b)):
 - Require applicants for a multistate license to pass a qualifying national exam for the type of license sought.
 - Participate in the data system.
 - Notify the Commission of any adverse action or current significant investigative information regarding a licensee.
 - Have procedures for considering the criminal history of an applicant for a multistate license (via fingerprints or other biometric based information) from the FBI and the state's criminal records agency.
 - Comply with the Commission's rules.
 - Require an applicant to obtain or retain a license in their home state and meet that state's qualifications and laws for licensure or renewal of licensure.
 - Authorize a licensee holding a multistate license in any member state to practice in accordance with the compact rules.
 - Designate a delegate to participate in the Commission meetings.
- **10)** Requires a member state to designate the categories of social work licensure that applicants in that state may be issued a multistate license for (BPC §4998.15 Section 3(c)).
- 11) Provides that it is the home state's responsibility to determine an applicant's eligibility for a multistate license, and, if the applicant is eligible, the home state shall issue a multistate license that authorizes the applicant to practice in all member states under a multistate authorization to practice. (BPC §4998.15 Section 5 (a) and (b))
- **12)** Permits a home state to charge a fee for granting a multistate license. (BPC §4998.15 Section 3(d))

Licensee Responsibilities

- **13)** Specifies that to be eligible for a multistate license with the Compact, an applicant must (BPC §4998.15 Section 4(a)):
 - Hold or be eligible for an active, unencumbered license in their home state.
 - Pay applicable fees, including any state fee, for the multistate license.

- Submit fingerprints (or other required biometric data) with their multistate license application
- Notify the home state of any adverse action, encumbrance, or restriction on any professional license taken by any member or non-member state within 30 days.
- Meet any continuing competence of the home state.
- Follow the laws, regulations, and applicable standards in the member state where their client is located at the time of providing care.
- **14)** Requires an applicant for the clinical level social work multistate license to meet all the following (BPC §4998.15 Section 4(b)):
 - A competency requirement, which consists of passing a clinical qualifying national exam. (Note: if clinically licensed in their home state prior to the time such an exam was required in that state, they will still qualify if they have been continuously licensed the entire time.) The Commission may also determine other substantially equivalent ways to meet the competency requirement by rule.
 - Obtain at least a master's degree in social work from a program recognized by the state's licensing authority and accredited by an accrediting agency recognized by either the Council for Higher Education Accreditation or the United States Department of Education.
 - A practice requirement consisting of 3,000 hours of postgraduate supervised clinical practice, or a minimum of two years of full-time postgraduate supervised clinical practice. The Commission may also determine other substantial equivalent ways to meet the practice requirement by rule.
- **15)** Specifies that the licensee's multistate license is subject to the renewal requirements of their home state (BPC §4998.15 Section 4(e)).
- **16)** Specifies that a licensee providing services in a remote state under a multistate authorization to practice must follow the laws of the remote state where the client is located. (BPC §4998.15 Section 16(a))

State Authority and Disciplinary Action

17) Provides that a social worker's services in a remote state are subject to that member state's regulatory authority. A remote state may remove a regulated social worker's multistate authorization to practice in that state for a specific time

- frame, impose fines, and take other needed actions to protect the public. (BPC §4998.15 Section 4(f))
- 18) Provides that if a multistate license is encumbered, the person's multistate authorization to practice is deactivated in all remote states until no longer encumbered. (BPC §4998.15 Section 4(g))
- 19) Provides that if a multistate authorization to practice in encumbered in a remote state, the person's multistate authorization to practice may be deactivated in that state until no longer encumbered. (BPC §4998.15 Section 4(h))
- 20) States that nothing in the Compact or the rules it establishes limit, restrict, or reduce the ability of a remote state to take adverse action against a licensee's multistate authorization to practice in that state. (BPC §4998.15 Section 5(d))
- 21) States that nothing in the Compact, or the rules it establishes limit, restrict, or reduce the ability of a licensee's home state to take adverse action against a licensee's multistate license based on information provided by a remote state. (BPC §4998.15 Section 5(e))
- 22) States that the Compact, or any of its rules, do not constrict or limit the ability of a member state to enact and enforce laws related to the practice of social work in that state, so long as they are not inconsistent with the provisions of the Compact. (BPC §4998.15 Section 6(a))
- 23) Provides a remote state the authority to take adverse action against a licensee's multistate authorization to practice in that state, and to issue subpoenas for hearings and investigations. However, only the home state has the power to take adverse action against a multistate license. (BPC §4998.15 Section 9(a))
- 24) Requires the home state to give the same priority to reports from a member state as it would if the conduct had occurred in the home state, applying its own state laws to determine the appropriate action. (BPC §4998.15 Section 9(b))
- 25) Permits a member state, following its own procedures for taking action, to take adverse action based on factual findings of another member state. (BPC §4998.15 Section 9(e))
- **26)** Permits member states to participate with other member states in joint investigations of licensees. (BPC §4998.15 Section 9(f))
- 27) Provides if adverse action is taken by the home state against a multistate license, the licensee's multistate authorization to practice is deactivated in all member states until no longer encumbered. (BPC §4998.15 Section 9(g))

- 28) If a member state takes adverse action, it must notify the administrator of the data system, which shall then promptly notify the home sate and all other member states. (BPC §4998.15 Section 9(h))
- 29) Prohibits a member state to discipline a licensee who holds a multistate authorization to practice for lawful actions in another member state. (BPC §4998.15 Section 9(k))

The Counseling Compact Commission

- **30)** Establishes a joint government agency of member states called the Social Work Licensure Compact Commission (Commission). (BPC §4998.15 Section 10(a))
- 31) Provides that each member state has one delegate selected by its licensing authority who may be either a current board member (either a social work member or public member) or board administrator. Each delegate is entitled to one vote on all voting matters before the Commission. (BPC §4998.15 Section 10(b))
- 32) Grants the Commission various powers including (BPC §4998.15 Section 10(c)):
 - Establishing and amending rules and bylaws;
 - Meeting and taking actions consistent with the Compact and the bylaws;
 - Initiate and conclude legal proceedings in the name of the Commission;
 - Assess and collect fees;
 - Establish a budget and make expenditures;
 - Appoint committees; and
 - Establish and elect an Executive Committee, which has the power to act on behalf of the Commission.
- 33) Requires that Commission meetings must be open to the public, with public notice given. However, permits the Commission to convene in a closed meeting for certain reasons. (BPC §4998.15 Section 10(f))
- **34)** Permits the Commission to levy and collect an annual assessment from each member state and impose fees on licensees of member states who have a multistate license, to cover the Commission's costs. The annual assessment amount shall be adopted via rule (BPC §4998.15 Section 10(g)(3)).
- 35) Requires the Commission to provide for the development, maintenance, operation and utilization of a coordinated data system (BPC §4998.15 Section 11(a)).
- **36)** Requires member states to submit data to the system on all individuals the Compact is applicable to, including identifying information, licensure data, adverse

- actions, application denials and the reasoning, and current significant investigative information. Member states may designate information that is not to be shared publicly. (BPC §4998.15 Section 11(c) and(f))
- 37) Provides that it is the responsibility of member states to report any adverse actions against a licensee, and to monitor the database to determine whether adverse action has been taken against a licensee. (BPC §4998.15 Section 11(e))
- 38) Sets forth a rulemaking process for the Commission to follow in adopting rules to implement and administer the Compact, including holding a public hearing allowing public comment with at least 30 days advance notice. (BPC §4998.15 Section 12)
- 39) States that the rules of the Commission have the force of law in each member state. However, where the rules conflict with the laws of the member state, the rules shall be ineffective in that state to the extent of the conflict. (BPC §4998.15 Section 12 (b))
- **40)** Specifies the process for a state to be terminated from the Compact. (BPC §4998.15 Section 13)
- 41) Provides that the Commission may initiate legal action against a member state to enforce compliance with the Compact. A member state may also initiate legal action against the Commission to enforce compliance with the Compact. (BPC §4998.15 Section 13)
- **42)** States that laws in a member state that conflict with the Compact are superseded, and that all agreements between the Commission and the member states are binding. (BPC §4998.15 Section 16(c) and (d))

Comments:

1) Author's Intent. In the Assembly Business & Professions Committee analysis, the author states the following:

"[This bill] will significantly enhance the ability of social workers to provide essential services across state lines. This compact is vital not only for professionals in the field but also for the countless individuals and families who rely on their expertise and support. It is a commitment to improving the social services landscape in California and beyond. By removing barriers and fostering greater mobility for social workers, we are investing in the well-being of our communities and reinforcing the foundation of support that so many depend on."

2) Current Members and Start Date. As of this date, 24 states have enacted legislation to join the Compact. A map of states that have joined can be found here.

The Commission indicates they are currently in the implementation phase of the Compact and expect to be able to issue multistate licenses in the next 12 to 24 months. They are beginning the rulemaking process and the process to initiate building the data system.

3) California-Specific Coursework Requirements for Out-of-State Applicants. Under the Board's current license portability pathway to licensure (also known as Path A), an out-of-state licensee who has been equivalently licensed for at least 2 years in another state is eligible for a streamlined process to obtain a California license if they meet certain requirements, including passing the California law and ethics exam, and taking certain specific coursework: California law and ethics (12 hours), California cultures (15 hours), and child abuse reporting (7 hours, which must include content on California's mandated reporting requirements) (34 hours total).

The Board implemented this streamlined pathway to licensure via legislation, which became effective in January 2020. This legislation was developed in a special License Portability Committee created by the Board, which met several times to explore the topic in-depth. One issue that the License Portability Committee found especially critical was that California has a uniquely diverse population, which may not be found in other states. California also has some laws that reflect the state's values, for example regarding reproductive rights or LGBTQ+ rights, which may differ in other states. For this reason, the Board felt strongly that out-of-state licensees should have certain supplemental coursework, of at least a continuing education course level, to ensure that out-of-state licensees had knowledge of California's laws and environment so that they could practice with a diverse population safely and competently. This is why the three California-specific courses became required.

This bill would exempt applicants for a multistate license who wish to practice in California as a remote state from needing to pass a California law and ethics exam or complete the California-specific coursework currently required of out-of-state applicants. This differs from the LPCC compact that the Board reviewed last year. Under that compact, LPCC applicants requesting the privilege to practice in California would still need to pass a California law and ethics exam, though they would not be required to complete any California-specific coursework.

Compact Voting. The bill requires member states to comply with the Compact Commission's legal requirements. Each member board gets one delegate on the commission, who has one vote regarding adoption of rules, regardless of a state's market share or number of licensees.

Joining the Compact now could allow the Board to have a voice in developing the Compact's rules, which are currently in development. However, the lack of proportional voting representation may be a concern, as many of the nation's LCSWs reside in California.

- **5) Delegation of Board's Authority.** Joining the Compact requires the Board to delegate some of its ability to act autonomously to the Compact Commission. The Board should discuss whether or not it believes this impacts its public protection mission.
- **Supervision of Associates.** It is unclear from the language of the bill whether licensees holding a privilege to practice would be permitted to supervise associates. The Board would likely need to run regulations to answer this question, and others that would likely arise because of the compact.
- 7) Fiscal Impact. The Board currently has approximately 3,700 LCSW licensees with an out-of-state address. The number of out-of-state applicants per year has increased since the Board introduced its license portability option. Below are the out-of-state LCSW applicants per fiscal year for the past 5 years:

Application Received	FY	FY	FY	FY	FY
	2019/2020	2020/2021	2021/2022	2022/2023	2023/2024
LCSW Out-Of-State	465	502	586	663	584

Joining the compact would likely lead to some revenue loss if the home state of these licensees were also in the compact. These licensees would likely drop their California license in favor of paying for a multistate license through their home state instead.

However, some or all of this revenue loss may be offset by LCSWs obtaining a multistate license with California as their home state. The home state receives some revenue for a multistate license, although it is unclear at this time how much that fee would be and whether all the revenue it generates goes to the home state.

As of late 2024, the Board had 36,000 active LCSW licensees, and 41,000 total LCSW licensees (active, inactive, and delinquent).

The estimated number of LCSW licensees nationwide, and what percent of them may want to practice in California, is unknown. This information is needed to estimate an accurate fiscal impact.

New practitioners come with a potential cost to the Board if they face disciplinary action. The bill permits a state to act against a licensee's multistate authorization to practice, and it also permits a state to recover costs associated with investigations and disciplinary actions from the licensee. However, at this time it is unknown if the Board would have the ability to fully recover costs of the increased number of complaints, investigations, and disciplinary actions against a licensee. The bill only allows licensing boards to collect a fee for a multistate license from

home state individuals. The allowable amount of that fee is unclear at this time and could in the future be dictated by rules established by Commission. If a large volume of individuals obtains a multistate license from their home state (from whom California cannot collect revenue) and California incurs significant enforcement expenses due to enforcement action related to these practitioners, it could run a deficit or require a higher fee from full license holders if it cannot recover enough revenue to cover the costs of increased workload.

Staff also expects fiscal impacts related to the data system and required reporting, the need for the Board to run new regulations to comply with the Compact, and new staff in the licensing and enforcement units.

In addition, it appears the Commission could vote to have member boards incur additional costs. For example, the Commission may levy an annual assessment on member states, which is currently unspecified.

8) Board Vote Required to Join. As currently written, the bill would only make the Compact operative in California if a majority of the Board of Behavioral Sciences votes to join, and the vote is subsequently certified by the Director of the Department of Consumer Affairs.

This approach allows the Board to delay participation, providing time to observe the fiscal impact of the Compact on other states and to review the rules adopted by the Commission before committing.

However, if the Board has concerns about the Compact's foundational provisions—such as examination requirements, California-specific coursework requirements, or the lack of proportional representation—those issues cannot be addressed through the Board's vote alone. In such cases, legislative changes would be required **prior to the Board's vote** in order to authorize the state to join the Compact under modified terms.

9) Previous Legislation.

- AB 2501 (Bonta, 2024) proposed ratifying the Psychology Interjurisdictional Compact, which would be applicable to the Board of Psychology. AB 2501 died in the Senate Committee on Business, Professions, and Economic Development.
- AB 2566 (Wilson, 2024) would have established California as a member state
 in the Interstate Counseling Compact, which permits a licensed professional
 counselor (LPCC) in a member state to practice in other member states, if
 specified conditions are met. At its May 2024 meeting, this Board took an
 "oppose" position on the bill. AB 2566 died in the Senate Committee on
 Business, Professions, and Economic Development.

- **10) Resources.** The Compact has a website with a variety of information, including FAQs. That website can be found here.
- 11) Staff Recommendation. Staff recommends that the Board consider adopting an "oppose" position on AB 427 as currently written. Concurrently, staff recommends that the Board direct staff to engage in discussions with the Compact Commission representatives and the author's office to communicate the Board's primary concerns and pursue potential compromises that could make California's future participation in the Compact more feasible. These discussions should prioritize the following key issues:
 - Inclusion of a provision allowing the Board to require applicants to pass
 California's law and ethics examination as a condition of practice in the state;
 - Inclusion of a provision allowing the Board to require completion of the onetime, California-specific continuing education coursework (California law and ethics, California cultures, and California child abuse assessment and reporting) currently required for out-of-state applicants;
 - Establishment of proportional representation, based upon state licensing populations, within the Compact's governance structure that reflects the size of California's membership relative to other participating states.

12) Support and Opposition.

Support:

- National Association of Social Workers-California Chapter (NASW-CA) (Sponsor)
- California Association for Health Services at Home

Read second time and amended.

- Harbor Association of Industry and Commerce
- San Diego Regional Chamber of Commerce
- Steinberg Institute
- Teladoc Health
- The Council for State Governments
- 46 individuals

Oppose:

04/09/25

One individual

13) History.

04/22/25 From committee: Do pass and re-refer to Com. on APPR. with recommendation: To Consent Calendar. (Ayes 12. Noes 0.) (April 22). Re-referred to Com. on APPR.

04/10/25 Re-referred to Com. on JUD.

04/08/25	From committee: Amend, and do pass as amended and re-refer to
	Com. on JUD. (Ayes 16. Noes 0.) (April 8).
03/25/25	Re-referred to Com. on B. & P.
03/24/25	From committee chair, with author's amendments: Amend, and re-refer
	to Com. on B. & P. Read second time and amended.
03/24/25	Referred to Coms. on B. & P. and JUD.
02/06/25	From printer. May be heard in committee March 8.
02/05/25	Read first time. To print.

AMENDED IN ASSEMBLY APRIL 9, 2025 AMENDED IN ASSEMBLY MARCH 24, 2025 california legislature—2025–26 regular session

ASSEMBLY BILL

No. 427

Introduced by Assembly Member Jackson

February 5, 2025

An act to amend Section 4996 of, and to add Article 6 (commencing with Section 4998.10) to Chapter 14 of Division 2 of, the Business and Professions Code, relating to healing arts.

legislative counsel's digest

AB 427, as amended, Jackson. Social workers: interstate compact. Existing law, the Clinical Social Worker Practice Act, provides for the licensure and regulation of clinical social workers by the Board of Behavioral Sciences. Existing law requires the board to issue a clinical social worker license to each applicant who qualifies pursuant to the act and who successfully passes a California law and ethics examination and a clinical examination.

This bill would ratify and approve the Social Work Licensure Compact, which authorizes member states to issue multistate licenses to applicants meeting specified education and experience requirements. Under the compact, a multistate license grants the holder an authorization to practice in other member states. The compact provides for the creation of a commission and requires the board to select a delegate to serve on the commission. This bill would require the board to comply with the requirements of the compact and to adopt regulations necessary to implement that compact.

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Existing law prohibits an individual from styling themselves, or holding themselves out to be, a "licensed clinical social worker," and makes it unlawful to engage in the practice of clinical social work unless they hold a license under the Clinical Social Worker Practice Act.

This bill would instead prohibit those acts unless they hold a license under the act or under the above-described compact.

This bill would become operative only upon certification by the Director of Consumer Affairs that a majority of the board has voted in favor of joining the compact and would require the director to notify the Secretary of State and the Legislative Counsel Bureau of the date of that certification.

Vote: majority. Appropriation: no. Fiscal committee: yes. State-mandated local program: no.

The people of the State of California do enact as follows:

1 SECTION 1. Section 4996 of the Business and Professions 2 Code is amended to read:

4996. (a) Only individuals who have received a license under this chapter may style themselves as "Licensed Clinical Social Workers." Every individual who styles themselves or who holds themselves out to be a licensed clinical social worker, or who uses any words or symbols indicating or tending to indicate that they are a licensed clinical social worker, without holding their license in good standing under this article, is guilty of a misdemeanor.

- (b) It is unlawful for any person to engage in the practice of clinical social work unless at the time of so doing such person holds a valid, unexpired, and unrevoked license under this chapter.
- (c) A clinical social worker licensed under this chapter is a licentiate for purposes of paragraph (2) of subdivision (a) of Section 805, and thus is a health care practitioner subject to the provisions of Section 2290.5 pursuant to subdivision (b) of that section.
- (d) (1) A person holds a license under this chapter if they hold satisfy either of the following requirements:
 - (A) The person holds a license under this article or hold a article.
- (B) The person holds a multistate license or multistate authorization to practice under the Social Work Licensure Compact as set forth in Article 6 (commencing with Section 4998.10).

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(2) This subdivision shall become operative only upon certification by the Director of Consumer Affairs as set forth in subdivision (b) of Section 4998.20.

SEC. 2. Article 6 (commencing with Section 4998.10) is added to Chapter 14 of Division 2 of the Business and Professions Code, to read:

Article 6. Social Work Licensure Compact

- 4998.10. The Social Work Licensure Compact, as set forth in Section 4998.15, is hereby ratified and approved.
- 4998.15. The provisions of the Social Work Licensure Compact between the State of California and other states that are party to the compact are as follows:

Section 1: Purpose

The purpose of this compact is to facilitate interstate practice of regulated social workers by improving public access to competent social work services. The compact preserves the regulatory authority of states to protect public health and safety through the current system of state licensure.

This compact is designed to achieve the following objectives:

- (a) Increase public access to social work services.
- (b) Reduce overly burdensome and duplicative requirements associated with holding multiple licenses.
- (c) Enhance the member states' ability to protect the public health and safety.
- (d) Encourage the cooperation of member states in regulating multistate practice.
- (e) Promote mobility and address workforce shortages by eliminating the necessity for licenses in multiple states by providing for the mutual recognition of other member state licenses.
 - (f) Support military families.
- (g) Facilitate the exchange of licensure and disciplinary information among member states.
- (h) Authorize all member states to hold a regulated social worker accountable for abiding by a member state's laws, regulations, and applicable professional standards in the member state in which the client is located at the time care is rendered.
- (i) Allow for the use of telehealth to facilitate increased access to regulated social work services.

AB 427 — 4 —

1 Section 2: Definitions

As used in this compact, and except as otherwise provided, the following definitions shall apply:

- (a) "Active military member" means any individual with full-time duty status in the active armed forces of the United States including members of the National Guard and Reserve.
- (b) "Adverse action" means any administrative, civil, equitable, or criminal action permitted by a state's laws which is imposed by a licensing authority or other authority against a regulated social worker, including actions against an individual's license or multistate authorization to practice such as revocation, suspension, probation, monitoring of the licensee, limitation on the licensee's practice, or any other encumbrance on licensure affecting a regulated social worker's authorization to practice, including issuance of a cease and desist action.
- (c) "Alternative program" means a nondisciplinary monitoring or practice remediation process approved by a licensing authority to address practitioners with an impairment.
- (d) "Charter member states" means member states who have enacted legislation to adopt this compact where such legislation predates the effective date of this compact as described in Section 14.
- (e) "Compact commission" or "commission" means the government agency whose membership consists of all states that have enacted this compact, which is known as the Social Work Licensure Compact Commission, as described in Section 10, and which shall operate as an instrumentality of the member states.
- (f) "Current significant investigative information" means either of the following:
- (1) Investigative information that a licensing authority, after a preliminary inquiry that includes notification and an opportunity for the regulated social worker to respond has reason to believe is not groundless and, if proved true, would indicate more than a minor infraction as may be defined by the commission.
- (2) Investigative information that indicates that the regulated social worker represents an immediate threat to public health and safety, as may be defined by the commission, regardless of whether the regulated social worker has been notified and has had an opportunity to respond.

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(g) "Data system" means a repository of information about licensees, including continuing education, examination, licensure, current significant investigative information, disqualifying event, multistate license, and adverse action information, or other information as required by the commission.

- (h) "Disqualifying event" means any adverse action or incident which results in an encumbrance that disqualifies or makes the licensee ineligible to either obtain, retain, or renew a multistate license.
- (i) "Domicile" means the jurisdiction in which the licensee resides and intends to remain indefinitely.
- (j) "Encumbrance" means a revocation or suspension of, or any limitation on, the full and unrestricted practice of social work licensed and regulated by a licensing authority.
- (k) "Executive committee" means a group of delegates elected or appointed to act on behalf of, and within the powers granted to them by, the compact and commission.
- (*l*) "Home state" means the member state that is the licensee's primary domicile.
- (m) "Impairment" means a condition or conditions that may impair a practitioner's ability to engage in full and unrestricted practice as a regulated social worker without some type of intervention and may include alcohol and drug dependence, mental health impairment, and neurological or physical impairments.
- (n) "Licensee" means an individual who currently holds a license from a state to practice as a regulated social worker.
- (o) "Licensing authority" means the board or agency of a member state, or equivalent, that is responsible for the licensing and regulation of regulated social workers.
- (p) "Member state" means a state, commonwealth, district, or territory of the United States of America that has enacted this compact.
- (q) "Multistate authorization to practice" means a legally authorized privilege to practice, which is equivalent to a license, associated with a multistate license permitting the practice of social work in a remote state.
- (r) "Multistate license" means a license to practice as a regulated social worker issued by a home state licensing authority that authorizes the regulated social worker to practice in all member states under multistate authorization to practice.

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(s) "Qualifying national exam" means a national licensing examination approved by the commission.

- (t) "Regulated social worker" means any clinical, master's, or bachelor's social worker licensed by a member state regardless of the title used by that member state.
- (u) "Remote state" means a member state other than the licensee's home state.
- (v) "Rule" or "rule of the commission" means a regulation duly promulgated by the commission, as authorized by the compact, that has the force of law.
- (w) "Single state license" means a social work license issued by any state that authorizes practice only within the issuing state and does not include multistate authorization to practice in any member state.
- (x) "Social work" or "social work services" means the application of social work theory, knowledge, methods, ethics, and the professional use of self to restore or enhance social, psychosocial, or biopsychosocial functioning of individuals, couples, families, groups, organizations, and communities through the care and services provided by a regulated social worker as set forth in the member state's statutes and regulations in the state where the services are being provided.
- (y) "State" means any state, commonwealth, district, or territory of the United States of America that regulates the practice of social work.
- (z) "Unencumbered license" means a license that authorizes a regulated social worker to engage in the full and unrestricted practice of social work.

Section 3: State Participation in the Compact

- (a) To be eligible to participate in the compact, a potential member state must currently meet all of the following criteria:
- (1) License and regulate the practice of social work at either the clinical, master's, or bachelor's category.
- (2) Require applicants for licensure to graduate from a program that meets all of the following:
- (A) Operated by a college or university recognized by the licensing authority.
- (B) Accredited, or in candidacy by an institution that subsequently becomes accredited, by an accredited agency recognized by either of the following:

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1 (i) The Council for Higher Education Accreditation or its 2 successor.

(ii) The United States Department of Education.

- (C) Corresponds to the licensure sought as outlined in Section 4.
- (3) Require applicants for clinical licensure to complete a period of supervised practice.
- (4) Have a mechanism in place for receiving, investigating, and adjudicating complaints about licensees.
- (b) To maintain membership in the compact, a member state shall meet all of the following criteria:
- (1) Require that applicants for a multistate license pass a qualifying national exam for the corresponding category of multistate license sought as outlined in Section 4.
- (2) Participate fully in the commission's data system, including using the commission's unique identifier as defined in rules.
- (3) Notify the commission, in compliance with the terms of the compact and rules, of any adverse action or the availability of current significant investigative information regarding a licensee.
- (4) Implement procedures for considering the criminal history records of applicants for a multistate license. Such procedures shall include the submission of fingerprints or other biometric-based information by applicants for the purpose of obtaining an applicant's criminal history record information from the Federal Bureau of Investigation and the agency responsible for retaining that state's criminal records.
 - (5) Comply with the rules of the commission.
- (6) Require an applicant to obtain or retain a license in the home state and meet the home state's qualifications for licensure or renewal of licensure, as well as other applicable home state laws.
- (7) Authorize a licensee holding a multistate license in any member state to practice in accordance with the terms of the compact and rules of the commission.
- (8) Designate a delegate to participate in the commission meetings.
- (c) A member state meeting the requirements of subdivisions (a) and (b) of this section shall designate the categories of social work licensure that are eligible for issuance of a multistate license for applicants in such member state. To the extent that any member state does not meet the requirements for participation in the

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compact at any particular category of social work licensure, such member state may choose, but is not obligated, to issue a multistate license to applicants that otherwise meet the requirements of Section 4 for issuance of a multistate license in such category or categories of licensure.

(d) The home state may charge a fee for granting the multistate license.

Section 4: Social Worker Participation in the Compact

- (a) To be eligible for a multistate license under the terms and provisions of the compact, an applicant, regardless of category, shall meet all of the following criteria:
- (1) Hold or be eligible for an active, unencumbered license in the home state.
- (2) Pay any applicable fees, including any state fee, for the multistate license.
- (3) Submit, in connection with an application for a multistate license, fingerprints or other biometric data for the purpose of obtaining criminal history record information from the Federal Bureau of Investigation and the agency responsible for retaining that state's criminal records.
- (4) Notify the home state of any adverse action, encumbrance, or restriction on any professional license taken by any member state or nonmember state within 30 days from the date the action is taken.
- (5) Meet any continuing competence requirements established by the home state.
- (6) Abide by the laws, regulations, and applicable standards in the member state where the client is located at the time care is rendered.
- (b) An applicant for a clinical-category multistate license must meet all of the following requirements:
- (1) Fulfill a competency requirement, which shall be satisfied by any of the following:
 - (A) Passage of a clinical-category qualifying national exam.
- (B) Licensure of the applicant in their home state at the clinical category, beginning prior to the time a qualifying national exam was required by the home state and accompanied by a period of continuous social work licensure thereafter, all of which may be further governed by the rules of the commission.

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(C) The substantial equivalency of subparagraphs (A) or (B), which the commission may determine by rule.

- (2) Attain at least a master's degree in social work from a program that meets both of the following:
- (A) Operated by a college or university recognized by the licensing authority.
- (B) Accredited, or in candidacy that subsequently becomes accredited, by an accrediting agency recognized by either of the following:
- (i) The Council for Higher Education Accreditation or its successor.
 - (ii) The United States Department of Education.

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- (3) Fulfill a practice requirement, which shall be satisfied by demonstrating completion of any of the following:
- (A) A period of postgraduate supervised clinical practice equal to a minimum of three thousand hours.
- (B) A minimum of two years of full-time postgraduate supervised clinical practice.
- (C) The substantial equivalency of subparagraphs (A) or (B), which the commission may determine by rule.
- (c) An applicant for a master's-category multistate license must meet all of the following requirements:
- (1) Fulfill a competency requirement, which shall be satisfied by any of the following:
 - (A) Passage of a master's-category qualifying national exam.
- (B) Licensure of the applicant in their home state at the clinical category, beginning prior to the time a qualifying national exam was required by the home state and accompanied by a period of continuous social work licensure thereafter, all of which may be further governed by the rules of the commission.
- (C) The substantial equivalency of subparagraphs (A) or (B), which the commission may determine by rule.
- (2) Attain at least a master's degree in social work from a program that meets both of the following:
- (A) Operated by a college or university recognized by the licensing authority.
- 37 (B) Accredited, or in candidacy that subsequently becomes 38 accredited, by an accrediting agency recognized by either of the 39 following:

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1 (i) The Council for Higher Education Accreditation or its 2 successor.

- (ii) The United States Department of Education.
- (3) Fulfill a practice requirement, which shall be satisfied by demonstrating completion of any of the following:
- (A) A period of postgraduate supervised clinical practice equal to a minimum of three thousand hours.
- (B) A minimum of two years of full-time postgraduate supervised clinical practice.
- (C) The substantial equivalency of subparagraphs (A) or (B), which the commission may determine by rule.
- (d) An applicant for a bachelor's-category multistate license must meet all of the following requirements:
- (1) Fulfill a competency requirement, which shall be satisfied by any of the following:
 - (A) Passage of a bachelor's-category qualifying national exam.
- (B) Licensure of the applicant in their home state at the clinical category, beginning prior to the time a qualifying national exam was required by the home state and accompanied by a period of continuous social work licensure thereafter, all of which may be further governed by the rules of the commission.
- (C) The substantial equivalency of subparagraphs (A) or (B), which the commission may determine by rule.
- (2) Attain at least a bachelor's degree in social work from a program that meets both of the following:
- (A) Operated by a college or university recognized by the licensing authority.
- (B) Accredited, or in candidacy that subsequently becomes accredited, by an accrediting agency recognized by either of the following:
- (i) The Council for Higher Education Accreditation or its successor.
 - (ii) The United States Department of Education.
- (e) The multistate license for a regulated social worker is subject to the renewal requirements of the home state. The regulated social worker must maintain compliance with the requirements of subdivision (a) to be eligible to renew a multistate license.
- (f) The regulated social worker's services in a remote state are subject to that member state's regulatory authority. A remote state may, in accordance with due process and that member state's laws,

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remove a regulated social worker's multistate authorization to practice in the remote state for a specific period of time, impose fines, and take any other necessary actions to protect the health and safety of its citizens.

- (g) If a multistate license is encumbered, the regulated social worker's multistate authorization to practice shall be deactivated in all remote states until the multistate license is no longer encumbered.
- (h) If a multistate authorization to practice is encumbered in a remote state, the regulated social worker's multistate authorization to practice may be deactivated in that state until the multistate authorization to practice is no longer encumbered.

Section 5: Issuance of a Multistate License

- (a) Upon receipt of an application for multistate license, the home state licensing authority shall determine the applicant's eligibility for a multistate license in accordance with Section 4 of this compact.
- (b) If such applicant is eligible pursuant to Section 4 of this compact, the home state licensing authority shall issue a multistate license that authorizes the applicant or regulated social worker to practice in all member states under a multistate authorization to practice.
- (c) Upon issuance of a multistate license, the home state licensing authority shall designate whether the regulated social worker holds a multistate license in the bachelor's, master's, or clinical category of social work.
- (d) A multistate license issued by a home state to a resident in that state shall be recognized by all compact member states as authorizing social work practice under a multistate authorization to practice corresponding to each category of licensure regulated in each member state.
- Section 6: Authority of Interstate Compact Commission and Member State Licensing Authorities
- (a) Nothing in this compact, nor any rule of the commission, shall be construed to limit, restrict, or in any way reduce the ability of a member state to enact and enforce laws, regulations, or other rules related to the practice of social work in that state, where those laws, regulations, or other rules are not inconsistent with the provisions of this compact.

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 (b) Nothing in this compact shall affect the requirements established by a member state for the issuance of a single state license.

- (c) Nothing in this compact, nor any rule of the commission, shall be construed to limit, restrict, or in any way reduce the ability of a member state to take adverse action against a licensee's single state license to practice social work in that state.
- (d) Nothing in this compact, nor any rule of the commission, shall be construed to limit, restrict, or in any way reduce the ability of a remote state to take adverse action against a licensee's multistate authorization to practice in that state.
- (e) Nothing in this compact, nor any rule of the commission, shall be construed to limit, restrict, or in any way reduce the ability of a licensee's home state to take adverse action against a licensee's multistate license based upon information provided by a remote state.

Section 7: Reissuance of a Multistate License by a New Home State

- (a) A licensee can hold a multistate license, issued by their home state, only in one member state at any given time.
- (b) If a licensee changes their home state by moving between two member states, all of the following shall apply:
- (1) The licensee shall immediately apply for the reissuance of their multistate license in their new home state. The licensee shall pay all applicable fees and notify the prior home state in accordance with the rules of the commission.
- (2) Upon receipt of an application to reissue a multistate license, the new home state shall verify that the multistate license is active, unencumbered, and eligible for reissuance under the terms of the compact and the rules of the commission. The multistate license issued by the prior home state will be deactivated and all member states notified in accordance with the applicable rules adopted by the commission.
- (3) Prior to the reissuance of the multistate license, the new home state shall conduct procedures for considering the criminal history records of the licensee. Such procedures shall include the submission of fingerprints or other biometric-based information by the applicants for the purpose of obtaining an applicant's criminal history record information from the Federal Bureau of

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Investigation and the agency responsible for retaining that state's criminal records.

- (4) If required for initial licensure, the new home state may require completion of jurisprudence requirements in the new home state.
- (5) Notwithstanding any other provision of this compact, if a licensee does not meet the requirements set forth in this compact for the reissuance of a multistate license by the new home state, then the licensee shall be subject to the new home state requirements for the issuance of a single state license in that state.
- (c) If a licensee changes their primary state of residence by moving from a member state to a nonmember state, or from a nonmember state to a member state, then the licensee shall be subject to the state requirements for the issuance of a single state license in the new home state.
- (d) Nothing in this compact shall interfere with a licensee's ability to hold a single state license in multiple states; however, for the purposes of this compact, a licensee shall have only one home state and only one multistate license.
- (e) Nothing in this compact shall interfere with the requirements established by a member state for the issuance of a single state license.

Section 8: Military Families

An active military member or their spouse shall designate a home state where the individual has a multistate license. The individual may retain their home state designation during the period the service member is on active duty.

Section 9: Adverse Actions

- (a) In addition to the other powers conferred by state law, a remote state shall have the authority, in accordance with existing state due process law, to:
- (1) Take adverse action against a regulated social worker's multistate authorization to practice only within that member state and issue subpoenas for both hearings and investigations that require the attendance and testimony of witnesses as well as the production of evidence. Subpoenas issued by a licensing authority in a member state for the attendance and testimony of witnesses or the production of evidence from another member state shall be enforced in the latter state by any court of competent jurisdiction, according to the practice and procedure of that court applicable to

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subpoenas issued in proceedings pending before it. The issuing licensing authority shall pay any witness fees, travel expenses, mileage, and other fees required by the service statutes of the state in which the witnesses or evidence are located.

- (2) Only the home state shall have the power to take adverse action against a regulated social worker's multistate license.
- (b) For purposes of taking adverse action, the home state shall give the same priority and effect to reported conduct received from a member state as it would if the conduct had occurred within the home state. In so doing, the home state shall apply its own state laws to determine appropriate action.
- (c) The home state shall complete any pending investigations of a regulated social worker who changes their home state during the course of the investigations. The home state shall also have the authority to take appropriate actions and shall promptly report the conclusions of the investigations to the administrator of the data system. The administrator of the data system shall promptly notify the new home state of any adverse actions.
- (d) A member state, if otherwise permitted by state law, may recover from the affected regulated social worker the costs of investigations and dispositions of cases resulting from any adverse action taken against that regulated social worker.
- (e) A member state may take adverse action based on the factual findings of another member state, provided that the member state follows its own procedures for taking the adverse action.
 - (f) Joint investigations:
- (1) In addition to the authority granted to a member state by its respective social work practice act or other applicable state law, any member state may participate with other member states in joint investigation of licensees.
- (2) Member states shall share any investigative, litigation, or compliance materials in furtherance of any joint or individual investigation initiated under the compact.
- (g) If adverse action is taken by the home state against the multistate license of a regulated social worker, the regulated social worker's multistate authorization to practice in all other member states shall be deactivated until all encumbrances have been removed from the multistate license. All home state disciplinary orders that impose adverse action against the license of a regulated social worker shall include a statement that the regulated social

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worker's multistate authorization to practice is deactivated in all member states until all conditions of the decision, order, or agreement are satisfied.

- (h) If a member state takes adverse action, it shall promptly notify the administrator of the data system. The administrator of the data system shall promptly notify the home state and all other member states of any adverse actions by remote states.
- (i) Nothing in this compact shall override a member state's decision that participation in an alternative program may be used in lieu of adverse action.
- (j) Nothing in this compact shall authorize a member state to demand the issuance of subpoenas for attendance and testimony of witnesses or the production of evidence from another member state for lawful actions within that member state.
- (k) Nothing in this compact shall authorize a member state to impose discipline against a regulated social worker who holds a multistate authorization to practice for lawful actions within another member state.

Section 10: Establishment of Social Work Licensure Compact Commission

- (a) The compact member states hereby create and establish a joint government agency whose membership consists of all member states that have enacted the compact known as the Social Work Licensure Compact Commission. The commission is an instrumentality of the compact states acting jointly and not an instrumentality of any one state. The commission shall come into existence on or after the effective date of the compact as set forth in Section 14.
- (b) Membership, Voting, and Meetings
- (1) Each member state shall have and be limited to one delegate selected by that member state's state licensing authority.
 - (2) The delegate shall be either of the following:
- (A) A current member of the state licensing authority at the time of appointment, who is a regulated social worker or public member of the state licensing authority.
- 36 (B) An administrator of the state licensing authority or their designee.
- 38 (3) The commission shall by rule or bylaw establish a term of office for delegates and may by rule or bylaw establish term limits.

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(4) The commission may recommend removal or suspension of any delegate from office.

- (5) A member state's state licensing authority shall fill any vacancy of its delegate occurring on the commission within 60 days of the vacancy.
- (6) Each delegate shall be entitled to one vote on all matters before the commission requiring a vote by commission delegates.
- (7) A delegate shall vote in person or by such other means as provided in the bylaws. The bylaws may provide for delegates to meet by telecommunications, videoconference, or other means of communication.
- (8) The commission shall meet at least once during each calendar year. Additional meetings may be held as set forth in the bylaws. The commission may meet by telecommunication, video conference, or other similar electronic means.
 - (c) The commission shall have the following powers:
 - (1) Establish the fiscal year of the commission.
 - (2) Establish code of conduct and conflict of interest policies.
- (3) Establish and amend rules and bylaws.
 - (4) Maintain its financial records in accordance with the bylaws.
- (5) Meet and take such actions as are consistent with the provisions of this compact, the commission's rules, and the bylaws.
- (6) Initiate and conclude legal proceedings or actions in the name of the commission, provided that the standing of any state licensing board to sue or be sued under applicable law shall not be affected.
- (7) Maintain and certify records and information provided to a member state as the authenticated business records of the commission, and designate an agent to do so on the commission's behalf.
 - (8) Purchase and maintain insurance and bonds.
- (9) Borrow, accept, or contract for services of personnel, including, but not limited to, employees of a member state.
 - (10) Conduct an annual financial review.
- (11) Hire employees, elect or appoint officers, fix compensation, define duties, grant such individuals appropriate authority to carry out the purposes of the compact, and establish the commission's personnel policies and programs relating to conflicts of interest, qualifications of personnel, and other related personnel matters.
 - (12) Assess and collect fees.

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(13) Accept any and all appropriate gifts, donations, grants of money, other sources of revenue, equipment, supplies, materials, and services, and receive, utilize, and dispense of the same; provided that at all times the commission shall avoid any appearance of impropriety or conflict of interest.

- (14) Lease, purchase, retain, own, hold, improve, or use any property, real, personal, or mixed, or any undivided interest therein.
- (15) Sell, convey, mortgage, pledge, lease, exchange, abandon, or otherwise dispose of any property, real, personal, or mixed.
 - (16) Establish a budget and make expenditures.
 - (17) Borrow money.

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- (18) Appoint committees, including standing committees, composed of members, state regulators, state legislators or their representatives, and consumer representatives, and such other interested persons as may be designated in this compact and the bylaws.
- (19) Provide and receive information from, and cooperate with, law enforcement agencies.
- (20) Establish and elect an executive committee, including a chair and a vice chair.
- (21) Determine whether a state's adopted language is materially different from the model compact language such that the state would not qualify for participation in the compact.
- (22) Perform such other functions as may be necessary or appropriate to achieve the purposes of this compact.
 - (d) The Executive Committee
- (1) The executive committee shall have the power to act on behalf of the commission according to the terms of this compact. The powers, duties, and responsibilities of the executive committee shall include:
- (A) Oversee the day-to-day activities of the administration of the compact, including enforcement and compliance with the provisions of the compact, its rules and bylaws, and other such duties as deemed necessary.
- (B) Recommend to the commission changes to the rules or bylaws, changes to this compact legislation, fees charged to compact member states, fees charged to licensees, and other fees.
- 38 (C) Ensure compact administration services are appropriately provided, including by contract. 40
 - (D) Prepare and recommend the budget.

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(E) Maintain financial records on behalf of the commission.

- (F) Monitor compact compliance of member states and provide compliance reports to the commission.
 - (G) Establish additional committees as necessary.
- (H) Exercise the powers and duties of the commission during the interim between commission meetings, except for adopting or amending rules, adopting or amending bylaws, and exercising any other powers and duties expressly reserved to the commission by rule or bylaw.
- (I) Other duties as provided in the rules or bylaws of the commission.
- (2) The executive committee shall be composed of up to 11 members:
- (A) The chair and vice chair of the commission shall be voting members of the executive committee.
- (B) The commission shall elect five voting members from the current membership of the commission.
- (C) Up to four ex officio, nonvoting members from four recognized national social work organizations.
- (D) The ex officio members will be selected by their respective organizations.
- (3) The commission may remove any member of the executive committee as provided in the commission's bylaws.
 - (4) The executive committee shall meet at least annually.
- (A) Executive committee meetings shall be open to the public, except that the executive committee may meet in a closed, nonpublic meeting as provided in paragraph (2) of subdivision (f).
- (B) The executive committee shall give seven days' notice of its meetings, posted on its website and as determined to provide notice to persons with an interest in the business of the commission.
- (C) The executive committee may hold a special meeting in accordance with subparagraph (B) of paragraph (1) of subdivision (f).
- (e) The Commission shall adopt and provide to the member states an annual report.
 - (f) Meetings of the Commission
- 37 (1) All meetings shall be open to the public, except that the 38 commission may meet in a closed, nonpublic meeting as provided 39 in paragraph (2).

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(A) Public notice for all meetings of the full commission of meetings shall be given in the same manner as required under the rulemaking provisions in Section 12, except that the commission may hold a special meeting as provided in subparagraph (B).

- (B) The commission may hold a special meeting when it must meet to conduct emergency business by giving 48 hours' notice to all commissioners, on the commission's website, and other means as provided in the commission's rules. The commission's legal counsel shall certify that the commission's need to meet qualifies as an emergency.
- (2) The commission or the executive committee or other committees of the commission may convene in a closed, nonpublic meeting for the commission or executive committee or other committees of the commission to receive legal advice or discuss:
- (A) Noncompliance of a member state with its obligations under the compact.
- (B) The employment, compensation, discipline or other matters, practices or procedures related to specific employees.
- (C) Current or threatened discipline of a licensee by the commission or by a member state's licensing authority.
 - (D) Current, threatened, or reasonably anticipated litigation.
- (E) Negotiation of contracts for the purchase, lease, or sale of goods, services, or real estate.
- (F) Accusing any person of a crime or formally censuring any person.
- (G) Trade secrets or commercial or financial information that is privileged or confidential.
- (H) Information of a personal nature where disclosure would constitute a clearly unwarranted invasion of personal privacy.
 - (I) Investigative records compiled for law enforcement purposes.
- (J) Information related to any investigative reports prepared by, or on behalf of, or for use of the commission or other committee charged with responsibility of investigation or determination of compliance issues pursuant to the compact.
- (K) Matters specifically exempted from disclosure by federal or member state law.
 - (L) Other matters promulgated by the commission by rule.
- (3) If a meeting, or portion of a meeting, is closed, the presiding officer shall state that the meeting will be closed and reference

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each relevant exempting provision, and such reference shall be recorded in the minutes.

- (4) The commission shall keep minutes that fully and clearly describe all matters discussed in a meeting and shall provide a full and accurate summary of actions taken, and the reasons therefor, including a description of the views expressed. All documents considered in connection with an action shall be identified in such minutes. All minutes and documents of a closed meeting shall remain under seal, subject to release only by a majority vote of the commission or order of a court of competent jurisdiction.
 - (g) Financing of the Commission
- (1) The commission shall pay, or provide for the payment of, the reasonable expenses of its establishment, organization, and ongoing activities.
- (2) The commission may accept any and all appropriate revenue sources as provided in paragraph (13) of subdivision (c).
- (3) The commission may levy on, and collect, an annual assessment from each member state and impose fees on licensees of member states to whom it grants a multistate license to cover the cost of the operations and activities of the commission and its staff, which must be in a total amount sufficient to cover its annual budget as approved each year for which revenue is not provided by other sources. The aggregate annual assessment amount for member states shall be allocated based upon a formula that the commission shall promulgate by rule.
- (4) The commission shall not incur obligations of any kind prior to securing the funds adequate to meet the same; nor shall the commission pledge the credits of any of the member states, except by and with the authority of the member state.
- (5) The commission shall keep accurate accounts of all receipts and disbursements. The receipts and disbursements of the commission shall be subject to the financial review and accounting procedures established under its bylaws. However, all receipts and disbursement of funds handled by the commission shall be subject to an annual financial review by a certified or licensed public accountant, and the report of the financial review shall be included in and become part of the annual report of the commission.
 - (h) Qualified Immunity, Defense, and Indemnification
- (1) The members, officers, executive director, employees, and representatives of the commission shall be immune from suit and

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liability, both personally and in their official capacity, for any claim for damage to or loss of property or personal injury or other civil liability caused by or arising out of any actual or alleged act, error, or omission that occurred, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of commission employment, duties, or responsibilities; provided that nothing in this paragraph shall be construed to protect any such person from suit or liability for any damage, loss, injury, or liability caused by the intentional or willful or wanton misconduct of that person. The procurement of insurance of any type by the commission shall not in any way compromise or limit the immunity granted hereunder.

- (2) The commission shall defend any member, officer, executive director, employee, and representative of the commission in any civil action seeking to impose liability arising out of any actual or alleged act, error, or omission that occurred within the scope of commission employment, duties, or responsibilities, or as determined by the commission that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of commission employment, duties, or responsibilities; provided that nothing herein shall be construed to prohibit that person from retaining their own counsel at their own expense; and provided further, that the actual or alleged act, error, or omission did not result from that person's intentional or willful or wanton misconduct.
- (3) The commission shall indemnify and hold harmless any member, officer, executive director, employee, and representative of the commission for the amount of any settlement or judgment obtained against that person arising out of any actual or alleged act, error, or omission that occurred within the scope of commission employment, duties, or responsibilities, or that such person had a reasonable basis for believing occurred within the scope of commission employment, duties, or responsibilities, provided that the actual or alleged act, error, or omission did not result from the intentional or willful or wanton misconduct of that person.
- (4) Nothing herein shall be construed as a limitation on the liability of any licensee for professional malpractice or misconduct, which shall be governed solely by any other applicable state laws.
- (5) Nothing in this compact shall be interpreted to waive or otherwise abrogate a member state's state action immunity or state

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action affirmative defense with respect to antitrust claims under the Sherman Act, Clayton Act, or any other state or federal antitrust or anticompetitive law or regulation.

- (6) Nothing in this compact shall be construed to be a waiver of sovereign immunity by the member states or by the commission. Section 11: Data System
- (a) The commission shall provide for the development, maintenance, operation, and utilization of a coordinated data system.
- (b) The commission shall assign each applicant for a multistate license a unique identifier, as determined by the rules of the commission.
- (c) Notwithstanding any other provision of state law to the contrary, a member state shall submit a uniform data set to the data system on all individuals to whom this compact is applicable as required by the rules of the commission, including:
 - (1) Identifying information.
 - (2) Licensure data.
- (3) Adverse actions against a license and information related thereto.
- (4) Nonconfidential information related to alternative program participation, the beginning and ending dates of such participation, and other information related to such participation not made confidential under member state law.
- (5) Any denial of application for licensure, and the reasons for such denial.
 - (6) The presence of current significant investigative information.
- (7) Other information that may facilitate the administration of this compact or the protection of the public, as determined by the rules of the commission.
- (d) The records and information provided to a member state pursuant to this compact or through the data system, when certified by the commission or an agent thereof, shall constitute the authenticated business records of the commission, and shall be entitled to any associated hearsay exception in any relevant judicial, quasi-judicial, or administrative proceedings in a member state.
- (e) Current significant investigative information pertaining to a licensee in any member state will only be available to other member states. It is the responsibility of the member states to report any adverse action against a licensee and to monitor the database

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to determine whether adverse action has been taken against a licensee. Adverse action information pertaining to a licensee in any member state will be available to any other member state.

- (f) Member states contributing information to the data system may designate information that may not be shared with the public without the express permission of the contributing state.
- (g) Any information submitted to the data system that is subsequently expunged pursuant to federal law or the laws of the member state contributing the information shall be removed from the data system.

Section 12: Rulemaking

- (a) The commission shall promulgate reasonable rules in order to effectively and efficiently implement and administer the purposes and provisions of the compact. A rule shall be invalid and have no force or effect only if a court of competent jurisdiction holds that the rule is invalid because the commission exercised its rulemaking authority in a manner that is beyond the scope and purposes of the compact, or the powers granted hereunder, or based upon another applicable standard of review.
- (b) The rules of the commission shall have the force of law in each member state, provided however that where the rules of the commission conflict with the laws of the member state that establish the member state's laws, regulations, and applicable standards that govern the practice of social work as held by a court of competent jurisdiction, the rules of the commission shall be ineffective in that state to the extent of the conflict.
- (c) The commission shall exercise its rulemaking powers pursuant to the criteria set forth in this section and the rules adopted thereunder. Rules shall become binding on the day following adoption or the date specified in the rule or amendment, whichever is later.
- (d) If a majority of the legislatures of the member states rejects a rule or portion of a rule, by enactment of a statute or resolution in the same manner used to adopt the compact within four years of the date of adoption of the rule, then such rule shall have no further force and effect in any member state.
- (e) Rules shall be adopted at a regular or special meeting of the commission.

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(f) Prior to adoption of a proposed rule, the commission shall hold a public hearing and allow persons to provide oral and written comments, data, facts, opinions, and arguments.

- (g) Prior to adoption of a proposed rule by the commission, at least 30 days in advance of the meeting at which the commission will hold a public hearing on the proposed rule, the commission shall provide a notice of proposed rulemaking:
- (1) On the website of the commission or other publicly accessible platform.
- (2) To persons who have requested notice of the commission's notices of proposed rulemaking.
 - (3) In other such ways as the commission may by rule specify.
- (h) The notice of proposed rulemaking shall include all of the following:
- (1) The time, date, and location of the public hearing at which the commission will hear public comments on the proposed rule and, if different, the time, date, and location of the meeting where the commission will consider and vote on the proposed rule.
- (2) If the hearing is held via telecommunication, video conference, or other electronic means, the commission shall include the mechanism for access to the hearing in the notice of proposed rulemaking.
 - (3) The text of the proposed rule and the reason therefor.
- (4) A request for comments on the proposed rule from any interested person.
- (5) The manner in which interest persons may submit written comments.
- (i) All hearings will be recorded. A copy of the recording and all written comments and documents received by the commission in response to the proposed rule shall be available to the public.
- (j) Nothing in this section shall be construed as requiring a separate hearing on each rule. Rules may be grouped for the convenience of the commission at hearings required by this section.
- (k) The commission shall, by majority vote of all members, take final action on the proposed rule based on the rulemaking record and the full text of the rule.
- (1) The commission may adopt changes to the proposed rule provided the changes do not enlarge the original purpose of the proposed rule.

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(2) The commission shall provide an explanation of the reasons for substantive changes made to the proposed rule as well as reasons for substantive changes not made that were recommended by commenters.

- (3) The commission shall determine a reasonable effective date for the rule. Except for an emergency as provided in subdivision (l), the effective date of the rule shall be no sooner than 30 days after issuing the notice that it adopted or amended the rule.
- (1) Upon determination that an emergency exists, the commission may consider and adopt an emergency rule within 48 hours' notice, with opportunity to comment, provided that the usual rulemaking procedures provided in the compact and in this section shall be retroactively applied to the rule as soon as reasonable possible, in no event later than 90 days after the effective date of the rule. For the purposes of this provision, an emergency rule is one that must be adopted immediately in order to do any of the following:
 - (1) Meet an imminent threat to public health, safety, or welfare.
 - (2) Prevent a loss of commission or member state funds.
- (3) Meet a deadline for the promulgation of a rule that is established by federal law or rule.
 - (4) Protect public health and safety.
- (m) The commission or an authorized committee of the commission may direct revisions to a previously adopted rule for purposes of correcting typographical errors, errors in format, errors in consistency, or grammatical errors. Public notice of any revisions shall be posted on the website of the commission. The revision shall be subject to challenge by any person for a period of 30 days after posting. The revision may be challenged only on grounds that the revision results in a material change to a rule. A challenge shall be made in writing and delivered to the commission prior to the end of the notice period. If no challenge is made, the revision will take effect without further action. If the revision is challenged, the revision may not take effect without the approval of the commission.
- (n) No member state's rulemaking requirements shall apply under this compact.
- 37 Section 13: Oversight, Dispute Resolution, and Enforcement
 - (a) Oversight

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(1) The executive and judicial branches of state government in each member state shall enforce this compact and take all actions necessary and appropriate to implement the compact.

- (2) Except as otherwise provided in this compact, venue is proper and judicial proceedings by or against the commission shall be brought solely and exclusively in a court of competent jurisdiction where the principal office of the commission is located. The commission may waive venue and jurisdictional defenses to the extent it adopts or consents to participate in alternative dispute resolution proceedings. Nothing herein shall affect or limit the selection or propriety of venue in any action against a licensee for professional malpractice, misconduct, or any such similar matter.
- (3) The commission shall be entitled to receive service of process in any proceeding regarding the enforcement or interpretation of the compact and shall have standing to intervene in such a proceeding for all purposes. Failure to provide the commission service of process shall render a judgment or order void as to the commission, this compact, or promulgated rules.
 - (b) Default, Technical Assistance, and Termination
- (1) If the commission determines that a member state has defaulted in the performance of its obligations or responsibilities under this compact or the promulgated rules, the commission shall provide written notice to the defaulting state. The notice of default shall describe the default, the proposed means of curing the default, and any other action that the commission may take, and shall offer training and specific technical assistance regarding the default.
- (2) The commission shall provide a copy of the notice of default to the other member states.
- (c) If a state in default fails to cure the default, the defaulting state may be terminated from the compact upon an affirmative vote of a majority of the delegates of the member states, and all rights, privileges, and benefits conferred on that state by this compact may be terminated on the effective date of termination. A cure of the default does not relieve the offending state of obligations or liabilities incurred during the period of default.
- (d) Termination of membership in the compact shall be imposed only after all other means of securing compliance have been exhausted. Notice of intent to suspend or terminate shall be given by the commission to the governor of the defaulting state, the majority and minority leaders of the defaulting state's legislature,

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the defaulting state's state licensing authority, and each of the members of the member state's state licensing authority.

- (e) A state that has been terminated is responsible for all assessments, obligations, and liabilities incurred through the effective date of termination, including obligations that extend beyond the effective date of termination.
- (f) Upon the termination of a state's membership from this compact, that state shall immediately provide notice to all licensees within that state of such termination. The terminated state shall continue to recognize all licenses granted pursuant to this compact for a minimum of six months after the date of said notice of termination.
- (g) The commission shall not bear any costs related to a state that is found to be in default or that has been terminated from the compact, unless agreed upon in writing between the commission and the defaulting state.
- (h) The defaulting state may appeal the action of the commission by petitioning the United States District Court for the District of Columbia or the federal district where the commission has its principal offices. The prevailing party shall be awarded all costs of such litigation, including reasonable attorney's fees.
 - (i) Dispute Resolution

- (1) Upon request by a member state, the commission shall attempt to resolve disputes related to the compact that arise among member states and between member and nonmember states.
- (2) The commission shall promulgate a rule providing for both mediation and binding dispute resolution for disputes as appropriate.
 - (i) Enforcement
- (1) By majority vote as provided by rule, the commission may initiate legal action against a member state in default in the United States District Court for the District of Columbia or the federal district where the commission has its principal offices to enforce compliance with the provisions of this compact and its promulgated rules. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing party shall be awarded all costs of such litigation, including reasonable attorney's fees. The remedies herein shall not be the exclusive remedies of the commission. The commission

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may pursue any other remedies available under federal or the defaulting member state's law.

- (2) A member state may initiate legal action against the commission in the United States District Court for the District of Columbia or the federal district where the commission has its principal offices to enforce compliance with the provisions of this compact and its promulgated rules. The relief sought may include both injunctive relief and damages. In the event judicial enforcement is necessary, the prevailing party shall be awarded all costs of such litigation, including reasonable attorney's fees.
- (3) No person other than a member state shall enforce this compact against the commission.

Section 14: Effective Date, Withdrawal, and Amendment

- (a) The compact shall come into effect on the date on which the compact statute is enacted into law in the seventh member state.
- (1) On or after the effective date of the compact, the commission shall convene and review the enactment of each of the first seven member states, known as charter member states, to determine if the statute enacted by each such charter member state is materially different than the model compact statute.
- (A) A charter member state whose enactment is found to be materially different from the model compact statute shall be entitled to the default process set forth in Section 13.
- (B) If any member state is later found to be in default, or is terminated or withdraws from the compact, the commission shall remain in existence and the compact shall remain in effect even if the number of member states should be less than seven.
- (2) Member states enacting the compact subsequent to the seven initial charter member states shall be subject to the process set forth in paragraph (21) of subdivision (c) of Section 10 to determine if their enactments are materially different from the model compact statute and whether they qualify for participation in the compact.
- (3) All actions taken for the benefit of the commission or in furtherance of the purposes of the administration of the compact prior to the effective date of the compact or the commission coming into existence shall be considered actions of the commission unless specifically repudiated by the commission.
- (4) Any state that joins the compact subsequent to the commission's initial adoption of the rules and bylaws shall be

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subject to the rules and bylaws as they exist on the date in which the compact becomes law in that state. Any rule that has been previously adopted by the commission shall have the full force and effect of law on the day the compact becomes law in that state.

- (b) Any member state may withdraw from this compact by enacting a statute repealing the same.
- (1) A member state's withdrawal shall not take effect until 180 days after enactment of the repealing statute.
- (2) Withdrawal shall not affect the continuing requirement of the withdrawing state's licensing authority to comply with the investigative and adverse action reporting requirements of this compact prior to the effect date of withdrawal.
- (3) Upon enactment of a statute withdrawing from this compact, a state shall immediately provide notice of such withdrawal to all licensees within that state. Notwithstanding any subsequent statutory enactment to the contrary, such withdrawing state shall continue to recognize all licenses granted pursuant to this compact for a minimum of 180 days after the date of such notice of withdrawal.
- (c) Nothing contained in this compact shall be construed to invalidate or prevent any licensure agreement or other cooperative arrangement between a member state and a nonmember state that does not conflict with the provisions of this compact.
- (d) This compact may be amended by the member states. No amendment to this compact shall become effective and binding upon any member state until it is enacted into the laws of all member states.

Section 15: Construction and Severability

- (a) This compact and the commission's rulemaking authority shall be liberally construed so as to effectuate the purposes, and the implementation and administration of the compact. Provisions of the compact expressly authorizing or requiring the promulgation of rules shall not be construed to limit the commission's rulemaking authority solely for those purposes.
- (b) The provisions of this compact shall be severable and if any phrase, clause, sentence, or provision of this compact is held by a court of competent jurisdiction to be contrary to the constitution of any member state, a state seeking participation in the compact, or of the United States, or the applicability thereof to any government, agency, person, or circumstance is held to be

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unconstitutional by a court of competent jurisdiction, the validity of the remainder of this compact and the applicability thereof to any other government, agency, person, or circumstance shall not be affected thereby.

(c) Notwithstanding subdivision (b), the commission may deny a state's participation in the compact or, in accordance with the requirements of subdivision (b) of Section 13, terminate a member state's participation in the compact, if it determines that a constitutional requirement of a member state is a material departure from the compact. Otherwise, if this compact shall be held to be contrary to the constitution of any member state, the compact shall remain in full force and effect as to the remaining member states and in full force and effect as to the member states affected as to all severable matters.

Section 16: Consistent Effect and Conflict with Other State Laws

- (a) A licensee providing services in a remote state under a multistate authorization to practice shall adhere to the laws and regulations, including laws, regulations, and applicable standards, of the remote state where the client is located at the time care is rendered.
- (b) Nothing herein shall prevent or inhibit the enforcement of any other law of a member state that is not inconsistent with the compact.
- (c) Any laws, statutes, regulations, or other legal requirements in a member state in conflict with the compact are superseded to the extent of the conflict.
- (d) All permissible agreements between the commission and the member states are binding in accordance with their terms.
- 4998.20. (a) The board shall comply with the requirements of the compact, as set forth in Section 4998.15, and shall adopt regulations necessary to implement the requirements of the compact.
- (b) This article shall become operative only upon certification by the Director of Consumer Affairs that a majority of the board has voted, during a regular meeting, in favor of joining the compact.
- *(c)* The director shall notify the Secretary of State and the 38 Legislative Counsel Bureau of the date of that certification.

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