IMPORTANT ANSWERS TO FREQUENTLY ASKED QUESTIONS

FOR ASSOCIATE PROFESSIONAL CLINICAL COUNSELORS

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Associate Professional Clinical Counselor
Frequently Asked Questions
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The information provided in this publication is supplemental and is intended to serve as a quick answer guide for common questions for those pursuing licensure as a Licensed Professional Clinical Counselor (LPCC). The Board’s Statutes and Regulations contain the official legal code sections. The Board encourages you to thoroughly read the Statutes and Regulations pertaining to the professional clinical counseling profession.

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1. What coursework will I need when I apply for Associate registration?

Please see the Application for Registration as an Associate Professional Clinical Counselor for a list of required coursework.

2. Can I count hours of experience after I graduate but before I receive an Associate registration number? What is the “90-day rule”?

If the Board receives your application for an Associate registration within 90 days of your degree award date, and you are thereafter issued an Associate registration, all post-degree hours of supervised experience may be credited. Hours gained under this “90-day rule” must comply with all experience and supervision requirements in order to count toward licensure.

For applicants graduating on or after January 1, 2020:

Hours may only be accepted under the “90-day rule” described above IF the hours are obtained at a workplace that, prior to the applicant gaining hours, required Live Scan fingerprinting. The applicant must provide documentation to the Board consisting of a copy of the processed “State of California Request for Live Scan Service” form. This form must be submitted with the application for licensure in order for the hours gained between graduation and registration issuance to be accepted. A copy of the processed form is the ONLY acceptable documentation specified in law. There are no exceptions. See the FAQ about the 90-day rule for more information.

Statute cited: Business and Professions Code (BPC) section 4999.46

3. When can I begin working in a private practice or professional corporation setting?

4. Are there any limitations on working in a private practice or professional corporation?

You may not work in a private practice or professional corporation until your Associate registration has been issued by the Board. You may work in private practice or professional corporation for a maximum of six (6) years, until your first Associate registration has met its maximum length. There are no exceptions.

Statutes cited: BPC sections 4999.46 and 4999.46.1

5. Now that my Associate registration has been issued, can I start practicing independently or open my own office?

No. Independent practice cannot begin until you have completed all licensure requirements and the Board issues you a license as a LPCC. Independent practice (or opening your own office) is considered unlicensed practice and is subject to disciplinary
action by the Board. Additionally, you are prohibited from billing clients directly until you are licensed.

Statutes cited: BPC sections 4999.30 and 4999.90

6. When am I required to renew my Associate registration?

Registrations expire annually and have a total six (6)-year time limit. Your registration’s expiration date will be printed on your registration certificate. You will be mailed a courtesy renewal notice 90 days prior to your expiration date. The Board encourages renewing online because it takes effect instantly as long as all renewal requirements are met.

Statute cited: BPC section 4999.46.1

7. Are Associates required to take an exam to renew?

Yes. Associates who have not yet passed the LPCC California Law and Ethics Exam MUST have taken this exam during their renewal cycle in order to renew. There are no exceptions. To apply for the exam, download the exam application from the Board’s website and mail it in with the required fee. Allow 4-6 weeks for processing. See the FAQ about the Law and Ethics Exam for more information.

Statutes cited: BPC sections 4999.53 and 4999.55

8. What happens if I don’t pass the California Law and Ethics Exam?

You do not need to pass the exam in order to renew your registration; you just need to have taken it. You may retake the exam after at least 90 days have passed from the date you last took the exam. See the Request for Re-Examination for more information.

If you don’t pass the exam prior to your registration’s expiration date, you must complete a 12-hour course in California Law and Ethics before you will be allowed to retake the exam during your NEXT renewal cycle. You will not be allowed to retake the exam after your registration expires until you submit proof of course completion. See the FAQs about the Law and Ethics Exam for more information.

Statute cited: BPC section 4999.55; Regulation cited: Title 16, California Code of Regulations (CCR) section 1805.05

9. Where can I take the 12-hour California Law and Ethics course?

The course must be taken through a school that holds a regional or national institutional accreditation recognized by the U.S. Department of Education, a school approved by the California Bureau for Private Postsecondary Education, or an acceptable continuing education provider.

Statute cited: BPC section 4999.55
10. What happens if I haven’t passed the Law and Ethics Exam by my registration’s 6-year time limit?

California law permits a registration to be renewed a maximum of five (5) times before being cancelled. Associates may apply for a subsequent (second or third) registration number. You cannot be granted a subsequent number unless you have passed the LPCC Law and Ethics Exam. There are no exceptions.

Statute cited: BPC section 4999.46.1

11. How do I obtain a second or third (subsequent) Associate registration number, and can I count experience hours gained under a subsequent number?

Submit the APCC Subsequent Registration application with the required fee. Be sure to submit it in advance of your registration’s expiration date to allow time for processing and to avoid a lapse in your registration. You may continue to count hours of experience under your subsequent Associate number; however, your hours may only be up to six years old at the time you apply for licensure, as explained in question 18.

Only those who have been issued a first Associate registration number may work in a private practice or professional corporation. Associates issued a subsequent registration may NOT work in a private practice or professional corporation. There are no exceptions. All other work settings are permissible.

Statutes cited: BPC sections 4999.46.1 and 4999.55

12. Can I work in a private practice or professional corporation under a subsequent Associate registration number?

If you are still earning hours of supervised experience, you will continue as a registrant until you have completed your hours. No further exams are required until you apply for licensure. You may submit your Application for Licensure once you have met all education and experience requirements.

Upon approval of your Application for Licensure, you will be required to take and pass the National Clinical Mental Health Counseling Exam (NCMHCE) offered by the National Board for Certified Counselors (NBCC).

Statute cited: BPC section 4999.50; Regulation cited: Title 16, CCR section 1822.50
B. EXPERIENCE HOURS, SUPERVISION AND EMPLOYMENT

14. What is the breakdown for the required hours of experience to become a LPCC?

California law requires 3,000 hours of supervised post-degree professional experience, including 104 supervised weeks, in order to qualify for LPCC licensure.

The supervised work experience categories break down into just two overall types:

- Direct counseling experience (*Minimum 1,750 hours*)
- Non-clinical experience (*Maximum 1,250 hours*)
  - May consist of direct supervisor contact, administering and evaluating psychological tests, writing clinical reports, writing progress or process notes, client centered advocacy, and workshops, seminars, training sessions, or conferences directly related to professional clinical counseling.

**Limitations on Experience Hours:**

- Maximum 40 hours of experience earned in any week between all settings
- Maximum six (6) hours of individual, triadic or group supervision in any week

**104 Supervised Weeks Required:**

Each of these 104 weeks must contain:

- One (1) hour of individual or triadic supervision, or two (2) hours of group supervision during any week in which experience is claimed
- 52 weeks in which the applicant received at least one (1) hour of individual or triadic supervision

An applicant for licensure cannot be approved to sit for the clinical exam without completing both the 3,000 hours of experience AND 104 supervised weeks.

*Statute cited: BPC section 4999.46; Regulation cited: Title 16 CCR section 1820*

15. What is triadic supervision?

Triadic supervision is defined as face-to-face supervision consisting of one supervisor and two supervisees. It is counted under the law as equivalent to individual supervision.

*Statute cited: BPC section 4999.46.2*
16. What is the definition of “telehealth counseling”?
17. How many telehealth hours can I gain?

Telehealth refers to the mode of delivering health care services and public health via information and communication technologies to facilitate the diagnosis, consultation, treatment, education, care management, and self-management of a patient’s health care while the patient is at the originating site and the health care provider is at a distant site. Supervisees are encouraged to familiarize themselves with the “Standards of Practice for Telehealth,” available in the Board’s Statutes and Regulations.

There is no limit on the number of telehealth hours.

Statutes cited: BPC sections 2290.5 and 4999.46; Regulation cited: Title 16, CCR section 1815.5

18. How far back can experience hours be counted? What is the 6-year rule?

The Board cannot accept hours of experience (including workshops, training or conferences) that are older than six (6) years from the date the Board receives your Application for Licensure.

For example, the Board receives an Application for Licensure on 03/24/2022. The Board can only accept hours earned by this applicant between 03/24/2016 and 03/24/2022.

It is important to note that if your Application for Licensure is abandoned, you will be required to re-apply. Your six (6)-year period will be recalculated to six (6) years back from the date your new application is received. For more information on abandonment, see question 34.

Statute cited: BPC section 4999.46

19. How do I determine the amount of supervision I need each week?
20. What is the required ratio of supervision to client contact hours?

“One unit” of supervision = one (1) hour of individual or triadic supervision OR two (2) hours of group supervision

Supervisees must receive one (1) unit of supervision, as defined above, during any week in which experience is gained in each work setting.

In addition, if the supervisee provides more than 10 hours of direct clinical counseling in a single week in his or her work setting, the supervisee must receive one (1) additional unit of supervision in that same week in that setting.

EXAMPLE #1: A supervisee works one job and provides 25 hours of direct clinical counseling during a single week. This supervisee must receive two (2) units of supervision during that week.
EXAMPLE #2: A supervisee works two jobs, and provides 12 hours of direct clinical counseling at Job A and nine (9) hours at Job B during the same week. This supervisee must receive a total of three (3) units of supervision during that week - two (2) units at Job A and one (1) unit at Job B.

Statute cited: BPC section 4999.46.2

21. Can I be paid as a 1099 / independent contractor?

22. Can I be employed as a volunteer?

You must either be a paid W-2 employee or a volunteer employee. You may also not bill clients directly. There are limited circumstances under which you may be paid under a 1099. If you work as a volunteer and receive reimbursement for expenses actually incurred, receiving a 1099 for those reimbursements is acceptable. If you have received a stipend or educational loan repayment from a program designed to encourage demographically underrepresented groups to enter the profession, or to improve recruitment and retention in underserved regions or settings, a 1099 for the stipend or loan repayment is acceptable. Those paid under a 1099 may be audited by the Board to ensure the payment was for one of the above purposes.

Statute cited: BPC section 4999.46.3

23. Who is eligible to supervise Associates?

Only licensed mental health professionals can supervise Associates and Associate applicants. Licensed mental health professionals include any of the following:

- Licensed Marriage and Family Therapists (LMFT)
- Licensed Clinical Social Workers (LCSW)
- Licensed Professional Clinical Counselors (LPCC)
- Licensed Clinical Psychologists
- Licensed Educational Psychologists (LEP) (Note: LEPs may only supervise up to 1,200 hours, which must consist of educationally related mental health services that are consistent with the LEP scope of practice described in BPC section 4989.14)
- Licensed Physicians certified in Psychiatry by the American Board of Psychiatry and Neurology

Additionally, the supervisor must meet all of the following:

- Complete the supervisor training and continuing professional development required by law (Licensed Clinical Psychologists and Psychiatrists are exempt from these requirements); and

- Maintain a current and active California license that is not under suspension or probation. (You can check your supervisor's status any time here); and
• Have been licensed in California or any other state for at least two (2) years out of the last five (5) years prior to the commencement of supervision; and

• Have practiced psychotherapy during at least two (2) years out of the last five (5) years prior to the commencement of supervision (or, if an LEP, has provided psychological counseling pursuant to BPC section 4989.14); OR

• Provided direct supervision to Associate Professional Clinical Counselors, Marriage and Family Therapist Trainees, Associate Marriage and Family Therapists, or Associate Clinical Social Workers who perform psychotherapy during at least two (2) years out of the last five (5) years prior to the commencement of supervision. Supervision of psychotherapy performed by a student (social work intern or a professional clinical counselor trainee) shall be accepted if the supervision performed is substantially equivalent to the supervision required for registrants.

Statutes cited: BPC sections 4989.14 and 4999.12

24. How many supervisees can participate in a session of group supervision?

Group supervision sessions shall include no more than eight (8) persons receiving supervision, even if there are two or more supervisors present. Group supervisors must ensure that the amount and degree of supervision is appropriate for each supervisee.

Statute cited: BPC section 4999.46.2

25. Can group supervision be broken into one-hour increments?

Group supervision can be broken into one-hour sessions, as long as both increments (full two hours) are provided in the same week as the experience being claimed.

Statute cited: BPC section 4999.46.2

26. Can I receive supervision via videoconferencing or over the telephone?

A supervisee working in an exempt setting (governmental entity; school, college, or university; or an institution that is both nonprofit and charitable) may obtain supervision via live two-way videoconferencing. The supervisor is responsible for ensuring that the client confidentiality is preserved.

Individual or group supervision may not be provided over the telephone in any setting.

Statute cited: BPC section 4999.46.2
27. What happens if my supervisor is temporarily unavailable to provide supervision?

Alternative supervision must be arranged. The substitute supervisor must meet all regular supervisor qualifications. In addition:

- The substitute supervisor must sign your weekly log.
- You and the substitute supervisor must sign a *Supervision Agreement*.
- The substitute supervisor and your employer must sign a *Written Oversight Agreement* if one is required.

**If the substitute will be supervising you for MORE than 30 consecutive calendar days:**

- A new supervisory plan is also required, and
- The substitute supervisor must sign an *Experience Verification* form for hours earned under their supervision.

**If the substitute will be supervising you for 30 consecutive calendar days or LESS:**

- A new supervisory plan is not required.
- Your regular supervisor may sign an *Experience Verification* form that includes the experience gained under the substitute.

*Regulation cited: 16 CCR section 1821.1*

28. Do my supervisor and I have to be employed by the same employer?

29. Does my supervisor need to be on site?

If the registered associate will be working in a private practice or professional corporation, the supervisor must (1) be employed by or contracted by the associate’s employer, or be an owner of the practice, and (2) either provide psychotherapeutic services to clients for the associate’s employer or have a written contract with the associate’s employer that provides the supervisor with the same access to the associate’s clinical records as is provided to employees of the associate’s employer.

In any work setting, the supervisor may be employed by the supervisee’s employer on either a paid or volunteer basis. If the supervisor is not employed by the supervisee’s employer or is a volunteer, a written oversight agreement that addresses the supervisor’s and employer’s responsibilities must be signed by the supervisor and the employer prior to commencement of supervision. Please see the Board’s website for a sample written oversight agreement.
In any work setting, supervisees may only perform services at the places where their employers permit business to be conducted, which may include performing services at other locations, so long as the services are performed in compliance with the laws pertaining to supervision.

Whether a supervisor is required to be on site depends on the situation. Supervisors must consider their responsibilities as defined in the LPCC statutes and regulations, which includes taking responsibility for, and control of, the quality of services being provided. Among other responsibilities, supervisors are required to do all of the following, whether they are on site or off site:

- Ensure that the extent, kind and quality of counseling performed by the supervisee is consistent with the education, training and experience of the supervisee.
- Monitor and evaluate the supervisee’s assessment, diagnosis and treatment decisions and providing regular feedback.
- Monitor and evaluate the ability of the supervisee to provide services at the site(s) where he or she will be practicing and to the particular clientele being served.
- Monitoring and addressing clinical dynamics, including, but not limited to, countertransference-, intrapsychic-, interpersonal-, or trauma-related issues that may affect the supervisory or the practitioner-patient relationship.
- Ensure compliance with all laws governing the practice of professional clinical counseling.
- Reviewing the supervisee’s progress notes, process notes, and other patient treatment records, as deemed appropriate by the supervisor.
- With the client’s written consent, providing direct observation or review of audio or video recordings of the supervisee’s counseling or therapy, as deemed appropriate by the supervisor.

Upon request by the Board, a supervisor is required to provide the Board with documentation that verifies the supervisor’s compliance with all requirements.

Statute cited: BPC section 4999.46.4; Regulations cited: Title 16, CCR sections 1820 and 1821

30. Where can I find more information about supervision?

Please see the Guide to Supervision for APCCs.
31. What forms do I use to record my experience hours and supervision?

While gaining your hours of experience, use the following forms, available on the Board’s website:

- **Weekly Summary of Experience Hours (“Weekly Log”):** Log your hours on this form on a weekly basis and have your supervisor sign weekly. You will retain the original logs. Do not submit Weekly Logs to the Board except upon request.

- **Experience Verification:** This form is completed upon the termination of your supervised experience with your supervisor, and must indicate the total hours completed in each category under your supervisor, your supervisor’s license information and certain information about your employer. Your supervisor will sign the form and provide you with the original for submission with your Application for Licensure.

For Supervisory Relationships that Commenced BEFORE January 1, 2022:

- **Responsibility Statement for Supervisors of an APCC:** Your supervisor must have signed this form prior to commencing supervision and provide you with the original. Retain for future submission with your Application for Licensure.

- **Supervisory Plan:** Your supervisor must have signed this form prior to commencing supervision and provide you with the original. Retain for future submission with your Application for Licensure.

For Supervisory Relationships that Commenced ON OR AFTER January 1, 2022:

- **Supervision Agreement:** You and your supervisor must sign this form, which includes a collaboratively developed Supervisory Plan, within 60 days of commencing supervision. Retain for future submission with your Application for Licensure.

Submission of forms as directed in the licensure application instructions will lessen the possibility that the licensing evaluator will need to request additional information from you, thus delaying the approval of your application.

32. How do I fill out Experience Verification forms or Weekly Logs if I have more than one supervisor in the same work setting?

If you are receiving supervision from two individuals in one employment setting, the board recommends that you record all hours under your primary supervisor, with one exception. Any actual supervision hours that you received under your secondary supervisor must be recorded and signed for by your secondary supervisor on separate weekly logs and a separate Experience Verification form (your primary supervisor is not permitted to sign for supervision hours provided by another supervisor). However, all of your work experience hours in that setting may be recorded and signed for by your primary supervisor. Recording your experience in this manner will lessen the probability that the Board will need to request additional information from you when reviewing your Application for Licensure.
33. When do I need to send in supervision-related forms?

All supervision-related forms must be submitted with your Application for Licensure. Do not send the Weekly Summary of Experience Hours (“Weekly Log”) form unless requested by the Board.

C. APPLYING FOR LICENSURE

34. Should I be concerned about abandonment of my Application for Licensure?

Yes. Abandonment could have major consequences, such as losing experience hours that are too old. Once an application is abandoned, an applicant may reapply, but must pay a new application fee and meet all current requirements. One of those requirements is that your hours are less than six (6) years old.

If your Application for Licensure is abandoned, you would lose any hours of experience that are more than six (6) years old, based on the date the Board receives the new Application for Licensure. See question 18 for more information about the six-year rule.

In accordance with Title 16, California Code of Regulations section 1806, an application shall be deemed abandoned in any of the following circumstances:

- Applicant does not submit the remaining documents or information requested in the application deficiency letter within one (1) year from the date of the deficiency letter.
- Applicant does not complete the application within one (1) year after it has been filed.
- Applicant who has submitted experience hours does not sit for an examination within one (1) year after being notified of initial eligibility to take the examination.
- Applicant who has submitted experience hours does not take an examination within one (1) year from the date the applicant was notified of failing an examination.
- The applicant fails to pay the initial license fee within one (1) year after notification by the Board of successful completion of LPCC licensing requirements.

Statute cited: BPC section 4999.46; Regulation cited: Title 16, CCR section 1806

35. What additional training do I need to complete before applying for licensure?

If you have an out-of-state degree, see the Out-of-State Application for Licensure for the required courses.
All individuals applying for licensure must have six hours of training in “Suicide Risk Assessment and Intervention”. This training may be taken as a course; or, if this content was included within your supervised experience and you can obtain a written certification from the program’s director of training, or from your primary supervisor, stating that the training was included within your supervised experience, it may be accepted in lieu of a course.

If this content was included within your qualifying degree program, you will need to obtain a written certification from the registrar or training director of your school or program stating that this coursework was included within the curriculum required for graduation, or within the coursework that was completed by you (if you submitted an Associate application after January 1, 2020, your Degree Program Certification may have already included this verification). Otherwise, this requirement may be met by taking a six-hour course from a school that holds a regional or national institutional accreditation recognized by the USDE, a school approved by the BPPE, or an acceptable continuing education provider.

Statute cited: BPC section 4999.66

36. What type of employment documentation does the Board require with my Application for Licensure?

If a Paid Employee: You must provide a copy of your W-2 for each year of paid employment in each work setting, for all tax years during which you gained experience, with your Application for Licensure. If your W-2 is not available, you must obtain a duplicate W-2, which may be obtained from your employer. It is also available from the Social Security Administration or Internal Revenue Service for a fee (allow for processing time). No other types of documents will be accepted in place of a W-2, with one exception for the current tax year, where you will need to include a photocopy of your most recent pay stub. Originals are not required - photocopies are acceptable.

If a Volunteer Employee: You must provide a letter from your employer verifying your status as a volunteer with your Application for Licensure. A sample letter verifying volunteer status is available on the Board’s website. Note: The letter from your employer must either be on the employer’s letterhead or via email from your employer from a verifiable email address.

Statute cited: BPC section 4999.46.3

37. How can I provide verification of my experience if my supervisor is deceased or incapacitated?

The Board will review documentation on a case by case basis in order to make a determination. However, we recommend that you submit all of the following:

- Evidence that your supervisor is deceased or incapacitated. For example: A copy of an obituary or death certificate if deceased; a doctor’s note, letter of conservatorship, or letter from the employer if incapacitated.
- All supervision documentation which had previously been signed by the supervisor, including:
• The previously signed Supervisor Responsibility Statement or Supervision Agreement;
  • The previously signed Supervisory Plan; and
  • The previously signed Weekly Log forms.

• Documentation from the employer verifying employment of the supervisor and supervisee. A letter from the employer will suffice. Other documentation will be reviewed on a case-by-case basis.

• The written oversight agreement if your supervisor was not employed by your employer.

Note: Any letter from your employer must either be on the employer’s letterhead or via email from your employer from a verifiable email address.

Statutes cited: BPC sections 4999.46.3 and 4999.46.4; Regulation cited: Title 16, CCR sections 1815.8 and 1820

38. What should I do if my supervisor refuses to sign for my hours?

The first step is to contact your supervisor and inform them that they are obligated by law to sign for hours that you completed. The law (reference below) states, “A supervisor shall give at least one (1) week's prior written notice to a supervisee of the supervisor's intent not to sign for any further hours of experience for such person. A supervisor who has not provided such notice shall sign for hours of experience obtained in good faith where such supervisor actually provided the required supervision.” If the supervisor continues to refuse to sign for your hours, you may then file a complaint with the Board. You may file your complaint electronically at www.breeze.ca.gov.

Regulation cited: Title 16, CCR section 1821

39. Do I need to resubmit fingerprints with my Application for Licensure?

Applicants do not need to resubmit fingerprints if one or more of the following applies at the time the Board receives the application:

• Applicant still possesses a current Associate registration number; or
• Applicant’s Associate number has been cancelled for less than 30 days.

Regulation cited: Title 16, CCR section 1815

40. What happens once I submit my Application for Licensure?

The Board will evaluate your application and notify you either that:

• There are one or more deficiencies in your application. You will have one (1) year from the date of the initial deficiency notice to clear all deficiencies

OR
• You have been found eligible for examination, and will be provided with information on how to register.

Once you pass both required exams: Download the Request for Initial License Issuance form and submit it with the required fee. Your license will be issued within 30 business days from the date of receipt.

Regulation cited: Title 16, CCR section 1806

41. Do I need to maintain a current Associate registration number to participate in the clinical examination?

The Board encourages all individuals to maintain a current Associate registration and to continue recording experience hours until licensed as an LPCC, as a safeguard in the event that some of the hours submitted are unable to be accepted by the Board either now or in the future due to application abandonment and/or the “six-year rule” (see question 34 for more information).

Whether you are required by law to maintain a registration, however, will depend on the type of setting you are working in. If working in an exempt setting, you are not required to maintain a current Associate registration once you have submitted your experience hours. However, your employer may require it as a condition of employment.

Statutes cited: BPC sections 4999.22 and 4999.46.1

42. Must I continue to have supervision after my experience hours have been approved?

Once the required number of experience hours are gained, you must receive a minimum of one hour of direct supervisor contact per week for each practice setting in which direct clinical counseling is performed. Once the required number of experience hours are gained, further supervision for nonclinical practice shall be at the supervisor’s discretion.

Statute cited: BPC section 4999.46

43. Once I pass both exams, can I start practicing independently?

No. A successful examinee still must submit a Request for Initial License Issuance form and fee before the Board will issue a LPCC license. Submit this form and fee upon completion of all licensure requirements – do not submit sooner as it will be rejected. Your license will be issued within 30 business days from the date of receipt. Independent practice cannot begin until the Board issues a license. Otherwise it is considered unlicensed practice, which is subject to disciplinary action by the Board.

Statutes cited: BPC sections 4999.30 and 4999.90